

#### Abstract

- Extensions of HTK's Artificial Neural Network (ANN) acoustic model capabilities are presented
- New Layer-Types such as CNN, GRU and LSTM layers are introduced to HTK
- Input-Features can now be combined in a range of different ways and are not necessarily concatenated
- PYHTK provides user-friendly python interface to set up an architecture

#### **Building Blocks: Layer Types**

- Fully-Connected Layers are supported as in HTK 3.5 [1]
- 2D-Convolutional Layers defined by:
- Number of Input/Output Feature Maps
- Stride, Padding, Kernel-Size
- Max- and Average-Pooling Layers
- Recurrent Layers:
  - Simple RNN-Layers, GRU and LSTM layers are supported
- LSTM-definition is very flexible in terms of bias-vectors and peep-holes
- are trained using Truncated Backpropagation through time and Frame-Level shuffling, leading to less biased gradients
- Activation-Only Layer
  - Combines Input-Features, adds bias-vector if required and applies Activation-Function
  - can be used to build ResNet-Blocks (see Figure 2)
- Bias-Only Layer
- Applies Activation-Function to a trainable vector
- Can be used for scaling outputs of layers

#### **Building Blocks: Activation Functions**

- ReLU, Soft-ReLU, Sigmoid, TANH and parameterised versions
- Scaled Exponential-Linear Unit (SELU) and Softmax

#### **Building Blocks: Input-Feature Combinations**

- Element-wise Addition as used in ResNets
- Element-wise Multiplication for Gating
- Element-wise MAX Operations
- Concatenation as in HTK 3.5
- Each Input-Feature can be scaled by the value of an output node of another layer as used in Attention-Models

# Modular Construction of Complex Deep Learning Architectures in HTK

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#### Pyhtk

- Simple config-file is used to define the neural network
- The network can easily be assembled from the previously described building blocks
- ► For recurrent layers, the number of unfolded time-steps For training can be defined separately for each layer and network is then automatically unfolded (see Figure 1)
- Recurrent layers can also operate at lower frame-rate as in [2]
- Recurrent layers can be bi-directional



Figure 1: Unfolding a deep recurrent neural network

## **Experimental Setup and Results**

- A range of Models, explained in the next section, are evaluated on TIMIT dataset
- Labels over 854 tri-phone states are derived from 48 phone labels which are mapped to the standard set of 39 phones for testing (after decoding)
- Models were decoded on the full test set using a bigram phone language model
- All models used 24 log-Mel filter bank coefficients with their  $\Delta$ and  $\Delta\Delta$  values as input features, except the CNN which used 40 without any  $\Delta$ .

Architecture	Width	PER	
7L-RELU-MLP	500	21.43	
9L-SELU-MLP	250	20.80	
21L-(FC)ResNet	250	20.37	
CNN	2048 for FC	20.12	
3L-RELU-RNN	1024	18.54	
3L-RELU-BDRNN	750	18.15	
5L-RELU-RNN	750	17.48	
Table 1: Phoneme error rates (PER) for the			

full TIMIT testset



LSTM1 (t-3	←	t - 3
Ļ		
LSTM1 (t-2)	←	t - 2
Ļ		
LSTM1 (t-1)	←	t - 1
Ļ		
LSTM1 (t)	←	t

#### Models

- 21L-(FC)ResNet
- ResNet Block was created by appending two
- ► 9L-SELU-MLP
  - connection
- ► CNN
- $\sim$  Conv(9x9)+MaxPool+Conv(4x3)+DNN<sup>3</sup>
- ► 3L-RELU-RNN
  - ▶ PER of 18.54%
- ► 3L-RELU-BDRNN
  - layer
- ► 5L-RELU-RNN
  - layers
  - succeeding epochs

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Fig	gure

### References

- Extension for HTK.", in *Proc. Interspeech'15*.
- LSTMs", in IEEE Signal Processing Letters, 2017.
- "Self-Normalizing Neural Networks", arXiv preprint *arXiv:1706.02515*, 2017.



fully-connected(FC) layers with an ActivationOnly-Layer that performs the addition operation on the inputs see Figure 2 ▶ 9 blocks, preceded were used to create 21-Layer ResNet

9-Layer MLP could be trained without pre-training or skip

two Bi-Directional Layers followed by one uni-directional

Pre-trained by training three and then four layers for one epoch each, with copying of the parameters of the recurrent

Noticeable improvement came from using  $\sim$ 5x larger L2-regularization for the two pre-training epochs than for the



2: ResNet-Block

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V. Peddinti and Y. Wang and D. Povey and S. Khudanpur, "Low latency acoustic modeling using temporal convolution and

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