

# Expressive Visual Text-To-Speech as an Assistive Technology for Individuals with Autism Spectrum Conditions

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## Abstract

Adults with Autism Spectrum Conditions (ASC) experience marked difficulties in recognizing the emotions of others and responding appropriately. The clinical characteristics of ASC mean that face to face or group interventions may not be appropriate for this clinical group. This article explores the potential of a new interactive technology, converting text to emotionally expressive speech, to improve emotion processing ability and attention to faces in adults with ASC. We demonstrate a method for generating a near-videorealistic avatar (XpressiveTalk), which can produce a video of a face uttering inputted text, in a large variety of emotional tones. We then demonstrate that general population adults can correctly recognize the emotions portrayed by XpressiveTalk. Adults with ASC are significantly less accurate than controls, but still above chance levels for inferring emotions from XpressiveTalk. Both groups are significantly more accurate when inferring sad emotions from XpressiveTalk compared to the original actress,

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and rate these expressions as significantly more preferred and realistic. The potential applications for XpressiveTalk as an assistive technology for adults with ASC is discussed.

*Keywords:* Autism Spectrum Conditions, Emotion Recognition, Social Cognition, Intervention, Assistive Technology

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## 1. Introduction

Autism Spectrum Conditions (ASC) are characterised by difficulties in social communication alongside unusually restrictive, repetitive behaviours and interests [1]. A key difficulty experienced by individuals with ASC, and part of current diagnostic criteria, is interpreting others' emotions and responding appropriately [1]. Indeed, [2] originally described ASC as a difficulty with "affective contact". Hence, a number of intervention programs aiming to improve social and communication skills in ASC, have focused on improving ability to interpret others' emotions [3, 4, 5, 6].

Improving ability to interpret emotions in realistic social situations in people with ASC is challenging, because the intervention needs to generalize to a variety of real life social situations. New interactive technologies provide a very promising form of intervention which could improve emotion processing in real life situations for a number of reasons. Firstly, individuals with ASC prefer interventions which involve interacting with technology rather than face-to-face or group based work, that could cause anxiety [3, 4]. Use of a computer to display emotions, instead of a face to face encounter, could therefore encourage attention to important social cues. Hence, use of technology as an intervention tool in people with ASC is particularly appealing and accessible for this clinical group. Secondly, interactive technologies enable people with ASC to actively experiment in safe, controlled and predictable environments repeatedly. The difficulty levels of the intervention, gradually getting more complex, can be slowly widened, and even controlled by the participant. This would provide adults with ASC a series of predictable, controllable and therefore low anxiety learning opportunities, which would not otherwise be available to these individuals in the real world. This also enables a systematic approach to learning, which is particularly in tune with the cognitive style in ASC [7].

Previous attempts to utilize technology to improve emotion recognition skills in children and adults with ASC have shown some success. For example,

31 *The Transporters* [6] and *Mindreading* [5] interventions aim to capitalize on  
32 the strong abilities that children and adults with ASC show in constructing  
33 patterns and systems from their environment. In the case of *The Trans-*  
34 *porters*, children with ASC aged 4-7 years old passively watch trains with  
35 real human faces interact in a number of social situations over a period of  
36 4 weeks. Post-intervention, the children with ASC reached typical control  
37 levels of emotion recognition, and training transferred to new situations not  
38 included in the original intervention videos [6]. There was also some anec-  
39 dotal evidence that children showed increased eye contact and interest in  
40 people post-intervention. Similarly, in the case of the *Mindreading* inter-  
41 vention, adults with high functioning ASC interacted with a comprehensive  
42 library of 412 naturalistic emotions in the face and voice separately, and  
43 combined, over 10-15 weeks. Adults with ASC showed improvement in their  
44 ability to recognize the emotions included in the original intervention, but  
45 this training did not transfer to other emotions or new situations [5]. Other  
46 examples come from robotic systems such as FACE which is capable of pro-  
47 ducing basic emotion expressions (e.g. happy, sad) [8]. A 20 minute therapy  
48 session has been shown to elicit spontaneous eye contact and social imitation  
49 in children with autism [8]. A range of other studies also demonstrate the  
50 potential of socially assistive robots for improving eye contact and social in-  
51 teraction skills in children with autism [9]. However, complex natural facial  
52 expressions that present difficulties for people with ASC in everyday life are  
53 challenging to simulate using robotics.

54 The challenge of improving ability to interpret emotions in realistic so-  
55 cial situations in people with ASC is for improvement to generalize beyond  
56 the scope of the original intervention, to new emotions and situations. One  
57 promising approach is for the intervention to be flexible, allowing for different  
58 levels of difficulty, and for the person undergoing the intervention to experi-  
59 ment and interact in the environment. With *The Transporters*, *Mindreading*  
60 and *FACE robotics* interventions, this was not possible.

61 New interactive technologies provide an opportunity for ASC individuals  
62 to practice their communication skills. In the current study we explore the  
63 scope for expressive visual speech animation as a potential intervention tool  
64 to improve emotion processing skills in adults with high functioning ASC.  
65 The technology, named XpressiveTalk, provides a near-realistic animation  
66 with dynamic emotion expressions. Previous studies of emotion processing  
67 have used animations which are highly unrealistic, e.g. [10, 11]. However,  
68 adults with high functioning ASC tend to have difficulty processing natural-

69 istic emotions. Hence, in order to improve attention and emotion recognition  
70 in everyday life, interventions must use realistic and flexible stimuli. The ben-  
71 efit of XpressiveTalk as a potential intervention tool is the development of a  
72 near-realistic visual interface, which approximates the type and complexity  
73 of emotions encountered in everyday life. In order to build a realistic visual  
74 interface, face and speech models are trained based on a corpus of video  
75 recordings of an actress.

76 The following section provides further background from ASC research,  
77 motivating the need for generating nuanced speech and vision cues. Subse-  
78 quently we provide details on the creation of the face model. We present  
79 user studies in which we firstly explore how adults with ASC and typically  
80 developing adults are able to infer emotions from recorded and synthesised  
81 emotions. Second, we explore how these individuals rate their preference and  
82 realism of real and synthesised emotions. These results will provide valuable  
83 insights into how adults with ASC interact with XpressiveTalk, and its po-  
84 tential as an intervention to improve emotion processing in these individuals.

## 85 2. Prior ASC research

86 Results from lab experiments have not consistently demonstrated emo-  
87 tion recognition difficulties in people with ASC, particularly high function-  
88 ing adults with ASC who have verbal and intellectual ability in the average  
89 or above range [12, 13, 14]. These results are incommensurate with these  
90 individuals’ difficulties in everyday life [1]. However, recent research has  
91 shown subtle emotion recognition difficulties in high functioning adults with  
92 ASC, when interpreting emotions in realistic social situations [15], particu-  
93 larly when these are dynamic, and include vocal cues [16, 17, 18]. In contrast,  
94 studies that utilise static expressions posing a single emotion at high inten-  
95 sity, or use cartoon-like animations do not tend to show differences in emotion  
96 processing ability between those with and without ASC [19, 20, 21, 22, 23, 24].  
97 Thus, complex stimuli which mimic the demands of emotion processing in ev-  
98 eryday life are more likely to reveal emotion recognition difficulties in adults  
99 with high functioning ASC [16].

100 These results have recently been explained by difficulties processing emo-  
101 tions of low signal clarity in people with ASC [16]. Signal clarity is high  
102 when a single emotion is presented at high intensity, and is low when more  
103 than one emotion is presented (e.g. smiling in confusion), and in cases where  
104 facial expression and vocal cues are contradictory (e.g. saying thank you

105 with a grimace) [25]. In everyday life, mixed emotion responses of low signal  
106 clarity tend to be expressed, such as smiling in frustration [26], happily or  
107 angrily surprised [27], or feigning a positive response to a social interaction  
108 partner [15, 28].

109 As these examples demonstrate, there are two important abilities neces-  
110 sary to interpret emotional responses of low signal clarity typically encoun-  
111 tered in realistic social situations. First, one must be able to integrate a  
112 variety of different visual cues from the mouth and eyes. Second, one must  
113 be able to process visual and vocal information simultaneously. Adults with  
114 ASC tend to have difficulty with both these aspects of processing. For ex-  
115 ample, adults with ASC have difficulty interpreting negative [21, 24, 29] and  
116 feigned positive emotions [30] which involve integrating different cues from  
117 the mouth and eyes, and mixed emotions (e.g. happy and surprised) [31].  
118 Second, children with ASC are less susceptible to the McGurk effect (a phe-  
119 nomenon in speech perception based on interacting speech and vision cues),  
120 tending to report the vocally produced syllable, rather than automatically  
121 integrating visual cues and reporting a blend of the two information chan-  
122 nels [32]. Adults with ASC also appear to rely more on speech content, rather  
123 than integrating non-verbal cues when interpreting complex emotions from  
124 videos of social interactions [18], spontaneous emotional responses [16, 15],  
125 and when distinguishing consistent from inconsistent facial and vocal emo-  
126 tions [10].

127 Difficulties integrating visual cues, and tendency to rely on speech content  
128 in people with ASC, could be due to reduced attention to social information.  
129 A key early indicator of ASC in infants is lack of eye contact and following  
130 others' gaze [33, 34, 35, 36]. Research utilising eye tracking technology while  
131 viewing social and emotional stimuli have shown that people with ASC look  
132 less to social information, such as people, eyes and faces [37, 38]. In high func-  
133 tioning individuals with ASC, differences in attention to social information  
134 is most pronounced in the first few seconds of viewing time [39, 40, 41, 42],  
135 or when stimuli are dynamic and complex (i.e. involving more than one  
136 person) [16, 43]. Research has also suggested that attention to social infor-  
137 mation, such as the eyes in people with ASC, causes aversive over-arousal,  
138 and is thus actively avoided by these individuals [21].

139 Clearly, adults with ASC have difficulties processing emotions of low sig-  
140 nal clarity, involving integration of complex and sometimes contradictory  
141 visual and vocal information. Lack of attention to social information (eyes  
142 and people) could be a key contributor to these difficulties. Infants who

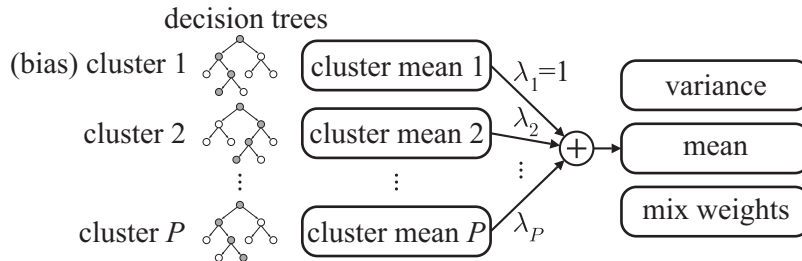


Figure 1: **Cluster adaptive training (CAT)**. Each cluster is represented by a decision tree and defines a basis in expression space. Given a position in this expression space defined by  $\lambda^{\text{expr}} = [\lambda_1 \dots \lambda_P]$  the properties of the HMMs to use for synthesis can be found as a linear sum of the cluster properties.

143 show reduced social attention tend to be diagnosed with ASC later on. This  
 144 demonstrates the importance of social attention skills in the development of  
 145 ASC [36, 37].

### 146 3. Expressive visual text-to-speech

147 In this section we present a method for generating a near-videorealistic  
 148 avatar. Given an input text, the system is able to produce a video of a face  
 149 model uttering the text. The text can be annotated with emotion labels that  
 150 modulate the expression of the generated output. The system is trained on  
 151 a large corpus containing speech and video recordings of an actress.

#### 152 3.1. Visual text-to-speech (TTS)

153 Text-to-speech (TTS) synthesis systems generate computer-synthesised  
 154 speech waveforms corresponding to any text input. A TTS system is typi-  
 155 cally composed of a front-end and a back-end. The front-end takes as input  
 156 a string of text and converts it into a sequence of phonemes and a linguistic  
 157 specification consisting of context features describing the linguistic and pho-  
 158 netic environment in which each phoneme occurs. The back-end then takes  
 159 these context features to generate a waveform. A conventional approach  
 160 called unit-selection TTS re-used existing segments in the training database  
 161 that matched best the phonetic contexts required and concatenated them  
 162 at synthesis time. More recently, statistical parametric approaches have be-  
 163 come more widely used. Instead of selecting actual instances of speech from a  
 164 database, in statistical parametric approaches such as HMM (hidden Markov

165 model) based TTS [44], parametric representations of speech are extracted  
 166 from the speech database and are modelled by a set of models such as HMMs.  
 167 Concatenating the HMMs produces a set of parameters which can then be  
 168 resynthesised into synthetic speech. Since it is not practical to collect a  
 169 training database that covers all possible linguistic contexts, decision trees  
 170 are used to cluster similar environments [45]. For any given input context,  
 171 the means and variances to be used in the HMMs may be looked up using the  
 172 decision tree. We extend this TTS method to visual TTS by concatenating  
 173 the audio feature vector with a video feature vector so the HMMs generate  
 174 a temporal sequence of parameters that are synthesised into a speech and  
 175 video signal.

### 176 3.2. Cluster adaptive training (CAT)

177 One of the advantages of HMM-TTS is its controllability. Unlike unit-  
 178 selection, HMM-TTS allows easily synthesising contexts which are not found  
 179 in the training database. This offers the possibility to achieve expressive  
 180 TTS without requiring large expression-dependent databases, and to syn-  
 181 thesize new expressions. For the current study, Cluster Adaptive Training  
 182 (CAT) [46] was used to achieve expressive TTS.

183 CAT is an extension to HMM-TTS, which uses multiple decision trees  
 184 to capture speaker- or emotion-dependent information. Figure 1 shows the  
 185 structure of the CAT model. Each cluster has its own decision tree, and the  
 186 means of the HMMs are determined by finding the mean for each cluster and  
 187 combining them using the formula:

$$\boldsymbol{\mu}_m^{\text{expr}} = \mathbf{M}_m \boldsymbol{\lambda}^{\text{expr}}, \quad (1)$$

188 where  $\boldsymbol{\mu}_m^{\text{expr}}$  is the mean for a given expression,  $m$  is the state of the  
 189 HMM,  $\mathbf{M}_m$  is the matrix formed by combining the means from each cluster  
 190 and  $\boldsymbol{\lambda}^{\text{expr}}$  is a weight vector.

191 Each cluster in CAT may be interpreted as a basis defining an expres-  
 192 sion space. To form the bases, each cluster is initialized using the data  
 193 of one emotion (by setting the  $\boldsymbol{\lambda}$ 's to zero or one as appropriate). The  
 194 Maximum-Likelihood criterion is used to update all the parameters in the  
 195 model (weights, means and variances, and decision trees) iteratively. The  
 196 resulting  $\boldsymbol{\lambda}$ 's may be interpreted as coordinates within the expression space. By  
 197 interpolating between  $\boldsymbol{\lambda}^{\text{expr}_1}$  and  $\boldsymbol{\lambda}^{\text{expr}_2}$  we can synthesise speech with an  
 198 expression combining two of the originally recorded expressions. Since the

199 space is continuous, it is possible to synthesise at any point in the space and  
200 generate new expressions. More details are described in [47].

### 201 3.3. Training the *XpressiveTalk* system

202 Our training corpus comprised 6925 sentences, capturing six emotions:  
203 neutral, tender, angry, afraid, happy, and sad. The speech data was pa-  
204 rameterized using a standard feature set consisting of 45 dimensional Mel-  
205 frequency cepstral coefficients, log-F0 (fundamental frequency) and 25 band  
206 aperiodicities, together with the first and second time derivatives of these  
207 features. The visual data was parameterized using an Active Appearance  
208 Model (AAM) with specific improvements for face synthesis. The improve-  
209 ments include pose-invariance, region-based deformations, and textures for  
210 the mouth region [48]. In the following we describe the training procedure  
211 of the model. To build an AAM a small initial set of training images is la-  
212 belled with a set of keypoints marking the same location of the face in each  
213 image. The initial set consists of images selected for each of the following  
214 sounds in each emotion: (1) *m* in *man*, (2) *ar* in *car*, (3) *ee* in *eel*, (4) *oo* in  
215 *too*, (5) *sh* in *she*. The initial AAM is then tracked over the whole training  
216 corpus ( $\approx 10^6$  frames) using the method in [49]. Poorly reconstructed frames  
217 are added to the training set for re-training. Tracking errors using this new  
218 model are lower and images which this model performs poorly on can be  
219 found and the whole process is repeated. The error histogram after different  
220 numbers of training rounds is shown in Figure 2. We found that re-training  
221 twice significantly reduced tracking error while not significantly increasing  
222 the dimensionality of the model. The final model is built from 71 training  
223 images, resulting in an AAM controlled by 17 parameters, which together  
224 with their first time derivatives are used in the CAT model.

225 When animating a face it is useful to be able to control certain actions  
226 such as eye blinks and head rotation. This is difficult with a standard AAM  
227 since the modes in a standard AAM have no physical meaning. We therefore  
228 train an AAM in which one mode corresponds to blinking and two modes  
229 to head rotation. We find the shape components that model head pose by  
230 recording a training sequence of head rotation with a fixed neutral expression.  
231 The pose components are removed in each training shape to obtain pose  
232 normalized training shapes, which model only facial deformation, see [48].  
233 Analogously a mode for eye blinking is found by using sample frame from  
234 the same blink event. A further extension is training a model in which  
235 the upper and lower regions of the face are controlled independently. This



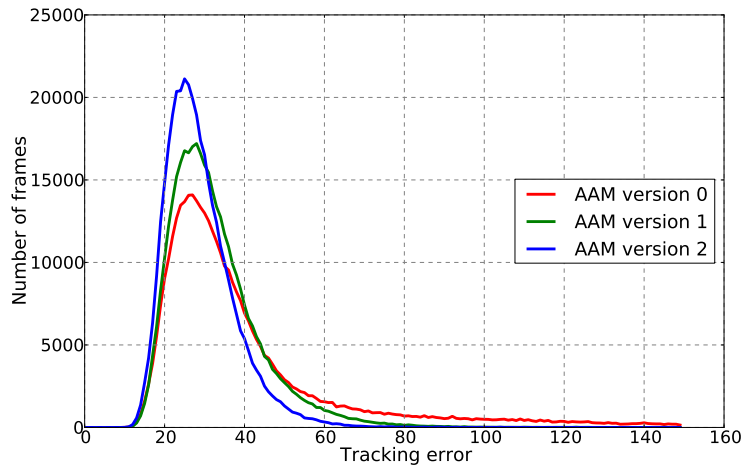


Figure 2: **Error histograms** for three iterations of the model building process. Errors are decreased with each new iteration of the model.

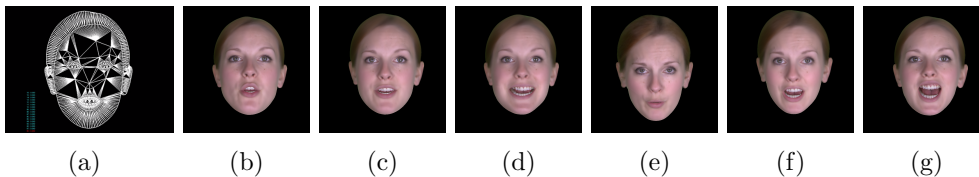


Figure 3: **Active Appearance Model**. The shape mesh is shown in (a). Example synthesis results for (b) neutral, (c) tender, (d) happy, (e) sad, (f) afraid and (g) angry.

236 builds a model in exactly the same way as the previous section except that  
 237 modes only deform specific areas of the model. In [48] it is shown that these  
 238 extensions improve the synthesis quality as measured in terms of maximum  
 239  $L_2$  tracking errors, as well as in user preference.

#### 240 3.4. Synthesis interface

241 Figure 4 shows the XpressiveTalk synthesis interface that was used to  
 242 create samples for the current study. The user types in the text in the  
 243 text box, and the desired emotion can be specified by adjusting the position  
 244 of the sliders. Upon clicking “Speak”, the synthesis engine is run and a  
 245 synthesised video file is produced and played back. When the sliders are all  
 246 in the inner-most position (0%), the system assumes a zero-weight for all  
 247 non-neutral emotions, and neutral speech/video is produced. Pure emotions



	ASC group ( $N=40$ )	Control group ( $N=39$ )	
	Mean $\pm$ S.D. (Range)	Mean $\pm$ S.D. (Range)	$t$ -test Result
Age (years)	40.9 $\pm$ 13.2 (19-63)	43.7 $\pm$ 14.8 (16-63)	$t(77)=.9, p=.37$
AQ	40.4 $\pm$ 6.2 (19-49)	17.8 $\pm$ 10.4 (3-42)	$t(74)=.11.4, p < .001$

Table 1: Participant characteristics. Autism Quotient (AQ) scores are missing for 3 participants in the typical control group.

268 beach is dry and shallow at low tide’; (c) ‘the fan whirled its round blades  
269 softly’; and (d) ‘we don’t have any choice’), each in 5 different emotional  
270 tones; happy, sad, angry, afraid and neutral. The XpressiveTalk condition  
271 consisted of 20 videos synthesised using the interface described in Section 3.4,  
272 in the same four neutral sentences, each synthesised in the same 5 emotional  
273 tones, each with the weight for the respective emotion set to 100% and other  
274 emotions set to 0%, in the face and voice domains. These basic emotions were  
275 chosen to be included from the interface, excluding tender, as these had been  
276 utilized in previous research studies (e.g. [21, 20]), and could be of particular  
277 benefit to adults with ASC who have difficulties recognizing negative basic  
278 expressions such as fear and sadness.

### 279 4.3. Procedure

280 Participants were invited to complete an emotion recognition study through  
281 a secure website, and provided their consent to take part electronically. They  
282 then completed a brief registration process (age, gender, ASC diagnosis and  
283 subtype, any family members with ASC diagnosis, any other diagnoses), and  
284 completed the AQ. They were then shown videos of emotion expressions per-  
285 formed by the original actress (real face condition), and synthesised emotion  
286 expressions through XpressiveTalk. Each emotion was expressed in four neu-  
287 tral sentences for both the real and synthesised faces, to control the context of  
288 the sentence between conditions. In total there were 100 synthesised videos  
289 and 100 real-face videos, presented in a random order.

290 After seeing each video, participants were asked to; (a) choose which  
291 emotion they thought it was from five options (happy, sad, angry, afraid and

292 neutral); (b) rate their preference ('How much did you like this face?'); and  
 293 (c) rate how realistic they thought it was ('How real did you think the face  
 294 was?'). Participants had two weeks to complete the task.

## 295 5. Results

### 296 5.1. Analysis Approach

297 A General Linear Model approach is used in the analysis of behavioural  
 298 results from the user study. Analysis of Variance (ANOVA) are used to ex-  
 299 plore differences in the percentage correct emotion inferences, preference and  
 300 realism ratings, for each emotion (happy, sad, angry, afraid, neutral), in each  
 301 group (ASC and typical control), and condition (real face and XpressiveTalk).  
 302 Significant interactions between variables, suggesting that the pattern of re-  
 303 sults is different between variables (e.g. emotion recognition accuracy may  
 304 improve for certain emotions between conditions), are explored further using  
 305 simple main effects analysis. Significant main effects for variables involving  
 306 more than one level (e.g. in the case of five emotion types), are explored  
 307 further using Bonferroni corrected t-tests, with p values corrected for the  
 308 increase in chance of finding a significant effect when undertaking multiple  
 309 comparisons (see [54, 55]).

### 310 5.2. Emotion Recognition

		Real Face					XpressiveTalk				
		Correct Emotion					Correct Emotion				
		Happy	Sad	Angry	Afraid	Neutral	Happy	Sad	Angry	Afraid	Neutral
Emotion Response	Happy	87.2	0.0	0.0	0.0	1.9	66.0	0.0	1.3	0.0	1.9
	Sad	0.0	74.4	0.0	5.8	3.2	0.0	85.9	0.6	10.9	0.0
	Angry	1.3	0.0	94.9	2.6	1.9	1.9	0.0	64.7	1.9	3.2
	Afraid	0.6	22.4	1.9	89.1	1.9	15.4	12.2	15.4	85.9	0.0
	Neutral	10.9	3.2	3.2	2.6	91.0	16.7	1.9	17.9	1.3	94.9

Table 2: Confusion matrices showing the percentage of emotion inferences for real faces and XpressiveTalk in the **typical group**.

311 Tables 2 and 3 show the confusion matrices for participants' emotion in-  
 312 ferences in the typical control and ASC groups in each condition respectively.  
 313 Both groups appear to provide more correct than incorrect emotion inferences  
 314 for both the real and XpressiveTalk conditions. However, those with ASC  
 315 appear to be less accurate overall than typical controls. Participants in both

		Real Face					XpressiveTalk				
		Correct Emotion					Correct Emotion				
		Happy	Sad	Angry	Afraid	Neutral	Happy	Sad	Angry	Afraid	Neutral
Emotion Response	Happy	77.5	0.0	1.9	0.0	2.5	43.8	0.0	2.5	0.0	6.9
	Sad	0.0	60.0	0.0	13.8	4.4	5.0	79.4	2.5	11.3	3.8
	Angry	4.4	1.3	86.3	5.6	2.5	1.3	0.0	53.1	6.3	5.0
	Afraid	2.5	20.6	2.5	68.8	3.1	14.4	13.8	19.4	60.0	0.6
	Neutral	15.6	18.1	9.4	11.9	87.5	35.6	6.9	22.5	22.5	83.8

Table 3: Confusion matrices showing the percentage of emotion inferences for real faces and XpressiveTalk in the **ASC group**.

		Real Face					XpressiveTalk				
		Correct Emotion					Correct Emotion				
		Happy	Sad	Angry	Afraid	Neutral	Happy	Sad	Angry	Afraid	Neutral
Emotion Response	Happy	82.3	0.0	0.9	0.0	2.2	54.7	0.0	1.9	0.0	4.4
	Sad	0.0	67.1	0.0	9.8	3.8	2.5	82.6	1.6	11.1	1.9
	Angry	2.8	0.6	90.5	4.1	2.2	1.6	0.0	58.9	4.1	4.1
	Afraid	1.6	21.5	2.2	78.8	2.5	14.9	13.0	17.4	72.8	0.3
	Neutral	13.3	10.8	6.3	7.3	89.2	26.3	4.4	20.3	12.0	89.2

Table 4: Confusion matrices showing the percentage of emotion inferences for real faces and XpressiveTalk (**typical and ASC groups combined**).

316 groups also appear to be less accurate when inferring happy and angry from  
317 XpressiveTalk compared to the real face.

318 A three way mixed ANOVA compared group (ASC, typical control), con-  
319 dition (real, XpressiveTalk), and percentage of correct emotion responses  
320 (happy, sad, angry, afraid, neutral). Participants with ASC (mean = 70%)  
321 were significantly less accurate than typical controls (mean = 83.4%) ( $F(1,77)$   
322 = 21.7,  $p < 0.001$ ). There was a significant main effect of emotion ( $F(4,308)=11.25$ ,  
323  $p < 0.001$ ). Bonferroni corrected t-tests showed that participants were sig-  
324 nificantly more accurate when inferring neutral than happy, angry, fear and  
325 sad (all  $p < 0.001$ ). There was a significant interaction between condition  
326 and emotion ( $F(4,308)=33.5$ ,  $p < 0.001$ ), suggesting that the pattern of cor-  
327 rect emotion inferences was significantly different in each condition. Simple  
328 main effect analyses showed that participants were significantly less accu-  
329 rate at inferring angry ( $F(1,77)=89.2$ ,  $p < 0.001$ ) and happy ( $F(1,77)=52.3$ ,  
330  $p < 0.001$ ), and significantly more accurate at inferring sad ( $F(1,77)=14.8$ ,  
331  $p < 0.001$ ) from XpressiveTalk compared to the real face. There were no  
332 significant differences in accuracy for recognition of fear or neutral emotions

333 from XpressiveTalk compared to the real face.

334 *5.3. Preference Ratings*

	Real Face					XpressiveTalk				
	Happy	Sad	Angry	Afraid	Neutral	Happy	Sad	Angry	Afraid	Neutral
ASC	44.6	22.8	33.3	39.2	34.9	28.8	39.3	24.7	28.7	43.9
Typical Control	58.1	34.0	41.4	46.1	44.8	40.1	49.2	32.3	38.4	57.1
Total	51.3	28.4	37.3	42.6	39.8	34.4	44.2	28.5	33.5	50.4

Table 5: Preference rating for each emotion in the ASC and typical control group, in the real face and XpressiveTalk conditions.

335 Table 5 shows the preference ratings for each emotion in each group and  
336 condition. The ASC group gives lower preference ratings than the typical  
337 control group overall. In both groups, negative emotions (sad, angry, afraid)  
338 are rated as less preferred than happy. Synthesised sad and neutral emo-  
339 tions appear to be rated as more preferred than real faces, whereas happy,  
340 angry and afraid emotions are rated as less preferred in the XpressiveTalk  
341 than the real face condition. A three way mixed ANOVA compared group  
342 (ASC, typical control), condition (real, XpressiveTalk), and mean preference  
343 ratings for each emotion (happy, sad, angry, afraid, neutral). Typical con-  
344 trols (44.2) showed a significantly higher preference for faces than individuals  
345 with ASC (34) ( $F(1,77)=5.6$ ,  $p=0.02$ ). There was a significant main effect of  
346 emotion ( $F(4,308)=24.9$ ,  $p < 0.001$ ). Bonferroni correct t-tests showed that  
347 neutral and happy faces had significantly higher preference ratings than sad,  
348 angry and afraid (all  $p < 0.05$ ). There was a significant interaction between  
349 condition and emotion ( $F(4,308)=43.5$ ,  $p < 0.001$ ). Simple main effect anal-  
350 yses showed that both group’s preference ratings were significantly lower for  
351 happy ( $F(1,77)=54$ ,  $p < 0.001$ ), angry ( $F(1,77)=13.8$ ,  $p < 0.001$ ) and fear  
352 ( $F(1,77)=33.8$ ,  $p < 0.001$ ) for XpressiveTalk compared to the real face. Yet,  
353 for the emotions sad ( $F(1,77)=60.5$ ,  $p < 0.001$ ) and neutral ( $F(1,77)=36.1$ ,  
354  $p < 0.001$ ) the preference rates were significantly higher in the XpressiveTalk  
355 face, compared to the real face.

356 *5.4. Realism Ratings*

357 Table 6 shows the realism ratings for each emotion in each group and  
358 condition. The ASC group appears to give lower realism ratings than the  
359 typical control group overall. A three way mixed ANOVA compared group

	Real Face					XpressiveTalk				
	Happy	Sad	Angry	Afraid	Neutral	Happy	Sad	Angry	Afraid	Neutral
ASC	61.6	36.3	64.0	47.5	32.2	32.4	63.9	36.8	40.3	62.6
Typical Control	69.4	44.0	70.0	53.4	37.6	38.7	70.7	40.2	50.3	73.3
Total	65.5	40.1	66.9	50.4	34.9	35.5	67.2	38.5	45.2	67.9

Table 6: Realism rating for each emotion in the ASC and typical control group, in the real face and XpressiveTalk conditions.

360 (ASC, typical control), condition (real, XpressiveTalk), and mean realism  
 361 ratings for each emotion (happy, sad, angry, afraid, neutral). There was a  
 362 significant main effect of emotion ( $F(4,308)=6.4, p < 0.001$ ). Bonferroni cor-  
 363 rected t-test showed that fear was rated as significantly less real than sad,  
 364 and angry and neutral (all  $p < 0.01$ ). There was a significant interaction be-  
 365 tween condition and emotion ( $F(4,308)=95.2, p < 0.001$ ). Simple main effect  
 366 analyses showed that the synthesised happy ( $F(1,77)=97.3, p < 0.001$ ), angry  
 367 ( $F(1,77)=85.8, p < 0.001$ ) and afraid ( $F(1,77)=6.4, p=0.014$ ) emotions were  
 368 rated as significantly less realistic compared to the real faces. Synthesised sad  
 369 ( $F(1,77)=67.2, p < 0.001$ ) and neutral ( $F(1,77)=169.1, p < 0.001$ ) emotions  
 370 were rated as significantly more realistic than the real faces.

## 371 6. Discussion

372 In this study we present a method for generating a near-videorealistic  
 373 avatar, which can convert input text into expressive speech and face, and dis-  
 374 cussed its potential as an assistive technology to improve emotion processing  
 375 skills and social attention in adults with ASC. Our results show that neutral  
 376 and sad expressions synthesised through XpressiveTalk were convincing; both  
 377 adults with and without ASC showed significantly increased accuracy from  
 378 XpressiveTalk (compared to the footage of the real face), and rated these  
 379 expressions as significantly preferred and more realistic. There was no sig-  
 380 nificant difference in recognition accuracy of fear between XpressiveTalk and  
 381 the real face. However, participants were significantly less accurate when  
 382 inferring synthesised happy and angry expressions through XpressiveTalk  
 383 compared to the real face, and rated these expressions as significantly less  
 384 preferred and realistic. Thus, the synthesised happy and angry faces through  
 385 XpressiveTalk appeared to be less expressive, and more difficult to infer emo-  
 386 tions from than those portrayed by the original actress. This is also reflected

387 by the fact that synthesised happy and angry expressions tended to be con-  
388 fused more with neutral faces for XpressiveTalk than the real face.

389 Our results also show emotion recognition difficulties in adults with ASC  
390 for the real face, and XpressiveTalk, reflecting results of previous studies,  
391 where more realistic emotions, involving a moving talking face, tend to show  
392 emotion recognition difficulties in adults with ASC [12, 13, 14]. This re-  
393 sult shows the benefit of utilizing these kinds of more naturalistic, dynamic  
394 stimuli, which more closely match the emotion expressions encountered in ev-  
395 eryday life. Additionally, the fact that the synthesised emotions presented at  
396 high (100%) intensity through XpressiveTalk were sensitive enough to detect  
397 emotion recognition difficulties in high functioning adults with ASC, means  
398 that this interface is potentially useful as an intervention tool, where there  
399 is room for performance to improve through use of the interface.

400 Both groups of participants still performed well above chance level for  
401 recognition of emotions from XpressiveTalk and the original actress, even  
402 in the case of synthesised happy and angry faces. Adults with ASC also  
403 showed significantly reduced preference for faces (regardless of stimulus type),  
404 compared to typical controls overall, consistent with previous studies showing  
405 avoidance of people and faces in ASC [38]. These results are consistent with  
406 previous research showing reduced preference, engagement and ability to  
407 process emotions in ASC (e.g. [14, 38, 41, 42, 43]).

408 However, adults with ASC were able to engage with the interface, and  
409 showed a similar pattern of preference and judgment of realism to typical  
410 controls. Participants with ASC who took part in the study also commented  
411 that the use of an avatar, as opposed to a real person, created a sense of  
412 anonymity and distance, which made it easier to look into the face and in  
413 particular the eyes of the face. This reflects the results of previous studies  
414 which have shown that interactive technology has the potential to provide  
415 a safe and predicable learning opportunity for adults with ASC, which does  
416 not have the same anxiety provoking nature as social situations in the real  
417 world [3, 4]. Hence, XpressiveTalk could provide an opportunity for adults  
418 with ASC to access and engage with the social world, through non aversive  
419 means. We aim to explore in future whether repeated exposure and experi-  
420 mentation with XpressiveTalk in adults with ASC, improves their ability to  
421 attend to and recognize emotions from XpressiveTalk, the original actress,  
422 and others' emotion expressions.

423 In order to maximize the chances of an intervention to be useful to adults  
424 with ASC, the expressiveness of synthesised faces needs to have a similar,



425 if not higher level of signal clarity than real faces. Adults with ASC have  
426 particular difficulty interpreting emotions of low signal clarity (e.g. [16]). A  
427 particular strength of XpressiveTalk is that the signal clarity of the emotion  
428 expressions can be systematically manipulated (mixing emotions of differing  
429 levels of intensity) by the participant throughout the intervention. This pro-  
430 vides the participant engaging with the interface to experiment with a large  
431 emotion space and full spectrum of signal clarity. The participant could  
432 therefore gradually increase the difficulty level of the emotions by reducing  
433 the signal clarity of these as they improve. In the current study, we employed  
434 simple emotions at 100% intensity to compare with the original actress, in  
435 order to ascertain how the level of signal clarity for synthesised faces com-  
436 pared to the real actress. At this high intensity, synthesised neutral and sad  
437 expressions appear to have significantly higher signal clarity than the original  
438 actress, whereas happy and angry faces appeared to have significantly lower  
439 signal clarity than the original actress.

## 440 **7. Conclusion**

441 In conclusion, new interactive technologies are a promising intervention  
442 tool to improve emotion processing and attention skills in adults with ASC.  
443 This study presents a method for generating a video of expressive speech,  
444 which can be manipulated by the user, to generate a wide array of emotions  
445 differing in their level of intensity and complexity. We demonstrate that  
446 adults with ASC show evidence of greater engagement with the synthesised  
447 compared to the real faces of the original actress. Both, adults with and  
448 without ASC, also show a similar pattern of recognition and realism ratings  
449 for synthesised as compared to real faces. In particular, synthesised neutral  
450 and sad faces are recognized more accurately than the real face, suggesting  
451 these synthesised expressions have significantly higher signal clarity than the  
452 original actress. Synthesised happy and angry faces require improvement in  
453 their signal clarity, in order to ensure that adults with ASC can begin the  
454 intervention at a high level of signal clarity, and gradually lower this and  
455 thus gradually increase the complexity of the emotions at their own pace.

## 456 **Acknowledgements**

457 This research was conducted during an international research internship  
458 towards an MSc (Res) degree at Maastricht University, funded by Erasmus.

459 This research also received support from the Centre for Psychology, Behavior  
460 and Achievement, Coventry University, UK; the Autism Research Trust;  
461 the Medical Research Council UK; and the National Institute for Health Research  
462 (NIHR) Collaboration for Leadership in Applied Health Research and  
463 Care East of England at Cambridgeshire and Peterborough NHS Foundation  
464 Trust.

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